FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

|  | OMB APPROVAL                                    |       |  |  |  |  |  |
|--|---|-------|--|--|--|--|--|
|  | OMB Number: 3235-01<br>Estimated average burden |       |  |  |  |  |  |
|  |   |       |  |  |  |  |  |
|  | hours per response                              | : 0.5 |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| HAUGHEY THOMAS  Requiring S (Month/Day |                    |                    | 2. Date of Event<br>Requiring Stater<br>Month/Day/Yea<br>05/17/2018 | nent                            | 3. Issuer Name and Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ ANIP ] |  |                             |                                 |  |   |
|--|--------------------|--------------------|---|---------------------------------|--|--|-----------------------------|---------------------------------|--|---|
| (Last) C/O ANI PHA                     | (First)            | (Middle) ALS, INC. |   |                                 |  | tionship of Reporting Perso<br>all applicable)<br>Director | oorting Person(s) to Issuer |                                 | 5. If Amendment, Date of Original Filed (Month/Day/Year) |   |
| 210 MAIN STREET WEST                   |                    |                    |   |                                 |  | Officer (give title below)                                 | Other (spe                  |                                 | Applicable Line)   | t/Group Filing (Check                                       |
| (Street) BAUDETTE                      | MN                 | 56623              |   |                                 |  |  |                             |                                 |  | y One Reporting Person<br>y More than One<br>erson          |
| (City)                                 | (State)            | (Zip)              |   |                                 |  |  |                             |                                 |  |   |
|  |                    | 7                  | Γable I - Nor   | -Derivat                        | tive Se  | ecurities Beneficiall                                      | y Owned                     |                                 |  |   |
| 1. Title of Security (Instr. 4)        |                    |                    |   |                                 |  | nt of Securities<br>ally Owned (Instr. 4)                  |                             |                                 | 4. Nature of Indirect Beneficial Ownership (Instr. 5)    |   |
| Common Stock                           |                    |                    |   |                                 |  |  | D                           |                                 |  |   |
|  | · x                |                    |   |                                 |  | 0(1)   | D                           |                                 |  |   |
|  | `                  | (e.                |   |                                 |  | 0 <sup>(1)</sup> urities Beneficially (ptions, convertible | Owned                       | s)                              |  |   |
| 1. Title of Deriva                     | tive Security (Ins | •                  |   | ls, warra<br>cisable and<br>ate | ants, o  | urities Beneficially (                                     | Owned securities            | 4.<br>Conversion Exerciprice of |  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |

## **Explanation of Responses:**

1. Mr. Haughey did not own any reportable securities of the Issuer, directly or indirectly, prior to becoming a reporting person.

<u>/s/ Thomas Haughey</u> <u>05/24/2018</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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