FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL | | | | |
|--------------------------|---------------|--|--|--|
| OMB Number: | 3235- 0104 | | | |
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| hours per | 0.5 | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* Lalwani Nikhil | 2. Date of Event Requiring Statem (Month/Day/Year) 09/08/2020 | | 3. Issuer Name and Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ANIP] | | | | |
|--|--|--|--|------------------|--|---|--|
| (Last) (First) (Middle) C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST (Street) BAUDETTE MN 56623 (City) (State) (Zip) | | 4. Relationship of Reportin Issuer (Check all applicable) X Director X Officer (give title below) PRESIDENT | 10% O Other (below) | wner (specify | A Person | oint/Group Filing e Line) by One Reporting by More than One | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | |
| 1. Title of Security (Instr. 4) | | 2. Amount of Securities | 3. Owne | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| | | Beneficially Owned (Instr. 4) | Form: D (D) or Ir (I) (Insti | ndirect | wnersnip (instr. | 5) | |
| , | | • | (D) or Ir (I) (Insti | ndirect r. 5) | wnersnip (instr. | 5) | |
| | | ative Securities Benefici | (D) or Ir (I) (Instri ally Own tible sec | ndirect r. 5) | 5. on Ownership | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |

Explanation of Responses:

No securities are beneficially owned.

<u>/s/ Nikhil Lalwani</u> <u>09/10/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.