SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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	OMB Number:	3235-0287 ge burden				
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to Section 16. F	orm 4 or Form 5	• • • •		•••	•••••					Estima	ated average bure	len
 obligations may continue. See Instruction 1(b). Filed 					nt to Section 16(a) o	of the Securitie	es Exchange Act of 1934			hours per response:		0.5
					tion 30(h) of the In				-			
1. Name and Addre Marken Jame		Person*			er Name and Ticke		Symbol <u>LS INC</u> [ANIP]		all applicabl Director Officer (giv	e)		wner (specify
(Last) (First) (Middle)				e of Earliest Transa /2020	ction (Month/	Day/Year)		below)	Ons 8	below) & Prod Dev		
C/O ANI PHARMACEUTICALS, INC.					//2020			Svi Ops & Hou Dev				
210 MAIN STR	REET WEST		L									
			4	4. If Ar	mendment, Date of	Original Filed	l (Month/Day/Year)	6. Indiv Line)	idual or Join	t/Group	p Filing (Check	Applicable
(Street)		50000							Form filed	by One	e Reporting Per	son
BAUDETTE	MN	56623							Form filed Person	n filed by More than One Repo		
(City)	(State)	(Zip)							1 010011			
		Table I - No	n-Derivativ	ve S	ecurities Acqu	uired, Disp	oosed of, or Benef	icially	Owned			
1. Title of Security (Instr. 3) 2. Transact					2A. Deemed	3.	4. Securities Acquired (A	A) or	5. Amount of	f	6. Ownership	7. Nature

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		Transaction Disposed Of (E Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	04/10/2020		A ⁽¹⁾		24,548	Α	\$ <mark>0</mark>	85,297	D	

		Ta	ble II - Derivat (e.g., pເ					iired, Disp options, d					d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The restricted stock vests in four equal annual installments on the first, second, third and fourth anniversaries of April 10, 2020.

/s/ James G. Marken

** Signature of Reporting Person Date

0<u>4/14/2020</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.