FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
|-------------|------------|
|-------------|------------|

| Check this box if no longer subject |
|-------------------------------------|
| to Section 16. Form 4 or Form 5     |
| obligations may continue. See       |
| Instruction 1(b).                   |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Marken James G.  |   |       |                                   |          |  | 2. Issuer Name and Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ ANIP ] |  |     |                         |  |  |            |   |  | k all app  | nship of Reporti<br>applicable)<br>Director<br>Officer (give title       |  | 10% O   |   |  |
|--|---|-------|-----------------------------------|----------|--|--|--|-----|-------------------------|--|--|------------|---|--|--|--|--|---|---|--|
| (Last) (First) (Middle) C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST   |   |       |                                   |          | 3. Date of Earliest Transaction (Month/Day/Year) 03/28/2022                          |  |  |     |                         |  |  |            |   | X  | belov  | <i>ı</i> ) ``  | below)   |   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |  |
| (Street) BAUDE (City)  |   |       | 56623<br>Zip)                     |          | 4. If <i>F</i>   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     |  |     |                         |  |  |            |   |  | Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |   |   |  |
|  |   | Table | l - No                            | n-Deriva | tive S   | Secui  | rities   | Acq | uired                   | , Dis  | posed of                               | , or E     | Benefi                                    | iciall   | y Own  | ed   |  |   |   |  |
| 1. Title of Security (Instr. 3)  2. Transact Date (Month/Day   |   |       |                                   |          | Execution Date,  |  |  |     |                         |  | es Acquired (A)<br>Of (D) (Instr. 3, 4 |            | 4 and Securi<br>Benefi                    |  | ies<br>cially<br>Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)        |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |   |  |
|  |   |       |                                   |          |  |  |  |     | Code                    | v  | Amount                                 | (A)<br>(D) | or Pri                                    | ice  | Transa   | eu<br>ction(s)<br>3 and 4)   |  |   | (Instr. 4)                              |  |
| Common Stock 03/28/2   |   |       |                                   |          | 2022   |  |  |     | <b>F</b> <sup>(1)</sup> |  | 524                                    | Г          | \$2                                       | 28.17  | 8.17 131,279   |  |  | D   |   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |       |                                   |          |  |  |  |     |                         |  |  |            |   |  |  |  |  |   |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | ative   Conversion   Date   Execution Date,<br>rity   or Exercise   (Month/Day/Year)   if any |       | Transaction<br>Code (Instr.<br>8) |          | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | rities<br>ired<br>r<br>osed<br>)<br>: 3, 4                                   | 6. Date Exerc<br>Expiration Da<br>(Month/Day/V |     | ate                     | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |  | De Se (In  | Price of<br>rivative<br>curity<br>str. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) |  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |   |  |

## **Explanation of Responses:**

1. Shares withheld for tax purposes exempt under Rule 16(b)-3 in connection with the vesting of 1,712 shares of restricted stock, the grant of which was previously reported.

/s/ James G. Marken

03/30/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.