SEC Form 4
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL	OWNERSHIP
Filed pursuant to Section 16(a) of the Securities Exchange Ac	t of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) ANI PHARMACEUTICALS INC [ ANIP ] Shanmugam Muthusamy Х Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) Х (First) (Middle) below) below) (Last) 11/14/2023 HEAD OF R&D, COO-NOVITIUM OPS C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Х (Street) Form filed by More than One Reporting 56623 BAUDETTE MN Person Rule 10b5-1(c) Transaction Indication (City) (State) (Zip)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock								47,407	D	
Common Stock	11/14/2023		S <sup>(1)</sup>		22,095	D	\$53.17 <sup>(2)</sup>	1,010,525	Ι	Held by Esjay LLC <sup>(3)</sup>
Common Stock	11/15/2023		S <sup>(1)</sup>		7,647	D	\$53.64 <sup>(4)</sup>	1,002,878	Ι	Held by Esjay LLC <sup>(3)</sup>
Common Stock	11/16/2023		S <sup>(1)</sup>		6,448	D	\$51.66 <sup>(5)</sup>	996,430	Ι	Held by Esjay LLC <sup>(3)</sup>
Common Stock								5,000	Ι	Held by SS Pharma LLC <sup>(6)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 21, 2023.

2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions in prices ranging from \$51.95 to \$54.07, inclusive.

3. The reporting person holds voting and dispositive power over the shares held by Esjay LLC.

4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions in prices ranging from \$52.96 to \$54.24, inclusive.

5. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions in prices ranging from \$51.14 to \$52.70, inclusive.

6. The Reporting Person holds voting and dispositive power over the shares held by SS Pharma LLC.

**Remarks:** 

<u>/s/ Muthusamy Shanmugam,</u> <u>by attorney-in-fact Meredith</u> <u>W. Cook</u>

11/16/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.