FORM 4

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, I	D.C. 20549	
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Check this box if no longer subject	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
to Section 16. Form 4 or Form 5	
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**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Lalwani Nikhil					2. Issuer Name <b>and</b> Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ ANIP ]								(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Laiwaii i vikiii					1										X Direc	tor		10% O	wner	
(Last)	(Fir	st) (N	Middle)		3. Da	Date of Earliest Transaction (Month/Day/Year)									X Office below	er (give title v)		Other ( below)	specify	
C/O ANI PHARMACEUTICALS, INC.				03/23/2021									PRESIDENT & CEO							
210 MAIN STREET WEST																				
					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable					
(Street)														Line	,	filed by On	e Ren	orting Pers	on	
BAUDE	TTE M	N 5	6623											'		filed by Mo		Ü	- 1	
(City)	(C+	ate) (2	Zin)												Perso			·		
(City)	(5)	(2	Zip)											<u> </u>						
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day				Execution D			Date,	Code (Instr.					, 4 and Securi Benefi		ties cially Following	Form (D) o	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
										v	Amount (A)		or	Price	Transa	eu ction(s) 3 and 4)			(Instr. 4)	
Common Stock 03/23/2					2021		A <sup>(1)</sup>		136,495	136,495 A		\$ <mark>0</mark>	165,003			D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date, if any		Code ( 8)	Transaction of Code (Instr. Derivative		vative irities iired r osed ) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		str. unt ber	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

## **Explanation of Responses:**

1. Represents a restricted stock award that will vest in four equal annual installments on the first, second, third and fourth anniversaries of March 23, 2021.

/s/ Nikhil Lalwani 03/25/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.