SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Mutz Christopher</u>	2. Date of Event Requiring Statement (Month/Day/Year) 02/15/2021		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ANI PHARMACEUTICALS INC</u> [ ANIP ]				
(Last)(First)(Middle)C/O ANI PHARMACEUTICALS, INC.210 MAIN STREET WEST(Street)BAUDETTE MN56623(City)(State)(Zip)			4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) CHIEF COMMERCI	10% C Other below)	wner (specify (C	led (Month/Day Individual or Jo Check Applicable X Form filed Person	int/Group Filing e Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. I)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conversior or Exercise	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Expiratio Exercisable Date	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)

**Remarks:** 

No securities are beneficially owned.

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/s/ Christopher Mutz

Date

02/17/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.