SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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OMB Number:	3235-0287
Estimated average b	ourden
hours per response:	0.5

	_	Table I - Non-D	Derivative Securities Acquired, Disposed of, or Bene	ficially	Owned			
(City)	(State)	(Zip)						
BAUDETTE	MN	56623			Form filed Person	tor 10% er (give title Othe y) belo r Joint/Group Filing (Chec filed by One Reporting Pu filed by More than One R on	an One Reportir	ng
(Street)				X	Form filed	by One Rep	oorting Person	
210 MAIN STREET WEST			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Join	t/Group Filir	ng (Check Appli	cable
C/O ANI PHA								
(Last) (First) (Middle)		, , , , , , , , , , , , , , , , , , ,	3. Date of Earliest Transaction (Month/Day/Year) 12/06/2021]	below)	e titie	Other (spec below)	pecity
1. Name and Addr HAUGHEY		0	2. Issuer Name and Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ANIP]		all applicabl Director	e)	10% Owne	er
			or Section 30(h) of the Investment Company Act of 1940					
Instruction 1(b)	y continue. See		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934	1		hours per re	esponse:	0.5

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	ction	4. Securities Disposed Of 5)				Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) Instr. 3 and 4)		(Instr. 4)
Common Stock	12/06/2021		Р		2,500	A	\$39.38	30,202	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	r osed) 7. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

<u>/s/ Thomas Haughey</u>

12/07/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.