FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL		
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person* (Check all applicable) ANI PHARMACEUTICALS INC [ANIP] Lalwani Nikhil Director 10% Owner X Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) X below) below) (Last) (First) (Middle) 08/11/2023 PRESIDENT & CEO C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person (Street) Form filed by More than One Reporting **BAUDETTE** MN 56623 Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 2A. Deemed 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 6. Ownership 7. Nature Execution Date Transaction Form: Direct of Indirect Beneficially Owned Following (D) or Indirect (I) (Instr. 4) Beneficial (Month/Day/Year) Code (Instr. 8) Ownership Reported (Instr. 4) (A) or (D) Price Code Amount (Instr. 3 and 4) 08/11/2023 Common Stock S 56,666 D \$57,75 353,390 D Common Stock 08/15/2023 M 11.616 Α \$29 365,006 D Common Stock 08/15/2023 11.616 D \$61.13(1) 353,390 D S Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 7. Title and Amount 11. Nature 1. Title of 3. Transaction 3A. Deemed 5. Number 8. Price of 9. Number of 10. Derivative Security (Instr. 3) Expiration Date (Month/Day/Year) Conversion Execution Date. Transaction derivative Ownership of Indirect or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Derivative Underlying Derivative Security Security (Instr. 5) Securities Beneficially Form: Direct (D) Ownership Securities Acquired Derivative (Instr. 3 and 4) Owned or Indirect (Instr. 4) (A) or Disposed Following (I) (Instr. 4) Security Reported of (D) (Instr 3, 4 and 5) Transaction(s) (Instr. 4) Amount Numbe Expiration Code (A) (D) Exercisable Date Title Shares

Explanation of Responses:

\$29

1. Reflects the weighted average price of sales on August 15, 2023. The shares were sold in multiple transactions at prices ranging from \$60.68 to \$61.87, inclusive.

M

 $2.\,25\% \ of \ the \ total \ options \ will \ vest \ on \ each \ of \ September \ 8, \ 2021 \ and \ September \ 8, \ 2022. \ 25\% \ of \ the \ total \ options \ will \ vest \ on \ each \ of \ September \ 8, \ 2023 \ and \ September \ 8, \ 2024.$

11,616

(2)

09/08/2030

Remarks:

Stock Option

(right to

/s/ Nikhil Lalwani, by attorneyin-fact Meredith W. Cook

** Signature of Reporting Person

11,616

Stock

\$<mark>29</mark>

08/15/2023

Date

168,027

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

08/15/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.